MACHINE LEARNING

Concept Learning

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## **Overview of Today's Lecture: Concept Learning**

read T. Mitchell, Machine Learning, chapter 2

- Learning from examples
- General-to-specific ordering over hypotheses
- Version spaces and candidate elimination algorithm
- Picking new examples
- The need for inductive bias

Note: simple approach assuming no noise, illustrates key concepts

### Introduction

- Assume a given domain, e.g. objects, animals, etc.
- A concept can be seen as a subset of the domain, e.g. birds⊆animals
- Task: acquire intensional concept description from training examples
- Generally we can't look at all objects in the domain

# **Training Examples for** *EnjoySport*

- Examples: "Days at which my friend Aldo enjoys his favorite water sport"
- Result: classifier for days = description of Aldo's behavior

Sky	Temp	Humid	Wind	Water	Forecst	EnjoySpt
Sunny	Warm	Normal	Strong	Warm	Same	Yes
Sunny	Warm	High	Strong	Warm	Same	Yes
Rainy	Cold	High	Strong	Warm	Change	No
Sunny	Warm	High	Strong	Cool	Change	Yes

What is the general concept?

### **Representing Hypotheses**

- Many possible representations
- in the following: h is conjunction of constraints on attributes
- Each constraint can be
  - a specfic value (e.g., Water = Warm)
  - don't care (e.g., "Water =?")
  - no value allowed (e.g., "Water= $\emptyset$ ")
- For example,

SkyAirTempHumidWindWaterForecst $\langle Sunny$ ??Strong?Same \rangle

- We write h(x) = 1 for a day x, if x satisfies the description
- Note that much more expressive languages exists

## Most General/Most Specific Hypothesis

- Most general hypothesis: (?,?,?,?,?)
- Most specific hypothesis:  $(\emptyset, \emptyset, \emptyset, \emptyset, \emptyset)$

### **Prototypical Concept Learning Task**

- Given:
  - Instances X: Possible days, each described by the attributes

Sky, AirTemp, Humidity, Wind, Water, Forecast

- Target function  $c: EnjoySport: X \rightarrow \{0, 1\}$
- Hypotheses H: Conjunctions of literals. E.g.

 $\langle ?, Cold, High, ?, ?, ? \rangle$ .

– Training examples D: Positive and negative examples of the target function

$$\langle x_1, c(x_1) \rangle, \ldots \langle x_m, c(x_m) \rangle$$

• Determine: A hypothesis h in H with h(x) = c(x) for all x in D.

### The Inductive Learning Hypothesis

The inductive learning hypothesis: Any hypothesis found to approximate the target function well over a sufficiently large set of training examples will also approximate the target function well over other unobserved examples.

- I.e. the training set needs to 'represent' the whole domain (which may be infinite)
- Even if we have a 'good' training set, we can still construct bad hypotheses!
- Can this be defined formally?

## **Concept Learning as Search**

- The hypothesis representation language defines a potentially large space
- Learning can be viewed as a task of searching this space
- Assume, that Sky has three possible values, and each of the remaining attributes has 2 possible values
- $\bullet \rightarrow$  Instance space constains 96 distinct examples
- Hypothesis space contains 5120 syntactically different hypothesis
- What about the semantically different ones?
- Different learning algorithms search this space in different ways!

## **General-to-Specific Ordering of Hyothesis**

- Many algorithms rely on ordering of hypothesis
- Consider

$$h_1 = (Sunny, ?, ?, Strong, ?, ?)$$

 $\mathsf{and}$ 

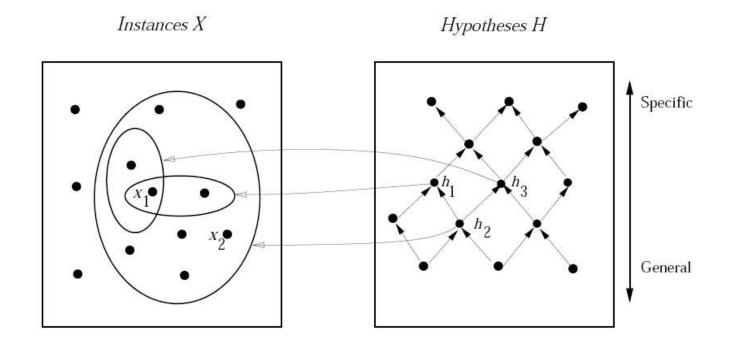
$$h_2 = (Sunny, ?, ?, ?, ?, ?)$$

- $h_2$  is more general than  $h_1!$
- How to formalize this?

Definition  $h_2$  is more general than  $h_1$ , if  $h_1(x) = 1$  implies  $h_2(x) = 1$ . In symbols

$$h_2 \geq_g h_1$$

#### Instance, Hypotheses, and More-General-Than



x<sub>1</sub>= <Sunny, Warm, High, Strong, Cool, Same> x<sub>2</sub> = <Sunny, Warm, High, Light, Warm, Same> 
$$\begin{split} h_1 &= <Sunny, ?, ?, Strong, ?, ?> \\ h_2 &= <Sunny, ?, ?, ?, ?, ?> \\ h_3 &= <Sunny, ?, ?, ?, Cool, ?> \end{split}$$

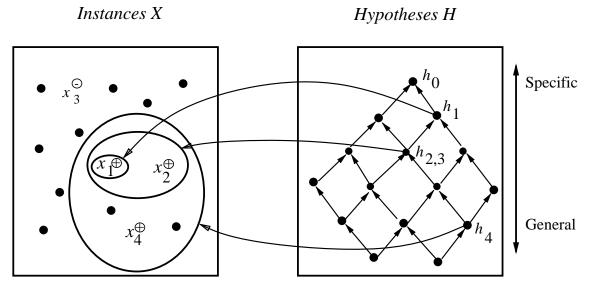
## **General-to-Specific Ordering of Hyothesis**

- $\geq_g$  does not depend on the concept to be learned
- It defines a partial order over the set of hypotheses
- strictly-more-general than:  $>_g$
- more-specific-than  $\leq_g$
- Basis for the learning algorithms presented in the following!
- Find-S:
  - Start with most specific hypothesis  $(\emptyset, \emptyset, \emptyset, \emptyset, \emptyset, \emptyset)$
  - Generalize if positive example is not covered!

# **Find-S Algorithm**

- $\bullet$  Initialize h to the most specific hypothesis in H
- For each positive training instance x
  - For each attribute constraint  $a_i$  in h
    - \* If the constraint  $a_i$  in h is satisfied by x
    - \* Then do nothing
    - \* Else replace  $a_i$  in h by the next more general constraint that is satisfied by x
- Output hypothesis h

#### Hypothesis Space Search by Find-S



- $$\begin{split} x_1 &= < Sunny \ Warm \ Normal \ Strong \ Warm \ Same>, + \\ x_2 &= < Sunny \ Warm \ High \ Strong \ Warm \ Same>, + \\ x_3 &= < Rainy \ Cold \ High \ Strong \ Warm \ Change>, \\ x_4 &= < Sunny \ Warm \ High \ Strong \ Cool \ Change>, + \end{split}$$
- $$\begin{split} h_0 &= < \varnothing, \varnothing, \varnothing, \varnothing, \varnothing, \varnothing, \varnothing > \\ h_1 &= < Sunny Warm Normal Strong Warm Same > \\ h_2 &= < Sunny Warm ? Strong Warm Same > \\ h_3 &= < Sunny Warm ? Strong Warm Same > \\ h_4 &= < Sunny Warm ? Strong ? ? > \end{split}$$

## The Role of Negative Examples

- Basically, the negative examples are simply ignored!
- What about a negative example like (Sunny, Warm, High, Strong, Freezing, Change)
- Example is incorrectly classified as positive by hypothesis

(Sunny, Warm, ?, Strong, ?, ?)

• Correct hypothesis would be

 $(Sunny, Warm, ?, Strong, (Warm \lor Cool), ?)$ 

- Is not in hypothesis set H
- If we assume the existence of a consistent hypothesis in *H*, then negative examples can be safely ignored.

### **Complaints about** Find-S

- Assume a consistent and unknown h that has generated the training set
- $\rightarrow$  Algorithm can't tell whether it has learned the right concept because it picks one hypothesis out of many possible ones
- Can't tell when training data inconsistent because it ignores the negative examples: doesn't account for noise
- Picks a maximally specific  $h \rightarrow$  is this reasonable?
- Depending on H, there might be several correct hypothesis!
- $\rightarrow$  Version spaces:
  - Characterize the set of all consistent hypotheses
  - ... without enumerating all of them

### **Version Spaces**

Definition A hypothesis h is consistent with a set of training examples D of target concept c if and only if h(x) = c(x) for each training example  $\langle x, c(x) \rangle$  in D.

$$Consistent(h, D) \equiv (\forall \langle x, c(x) \rangle \in D) \ h(x) = c(x)$$

Definition The version space,  $VS_{H,D}$ , with respect to hypothesis space H and training examples D, is the subset of hypotheses from Hconsistent with all training examples in D.

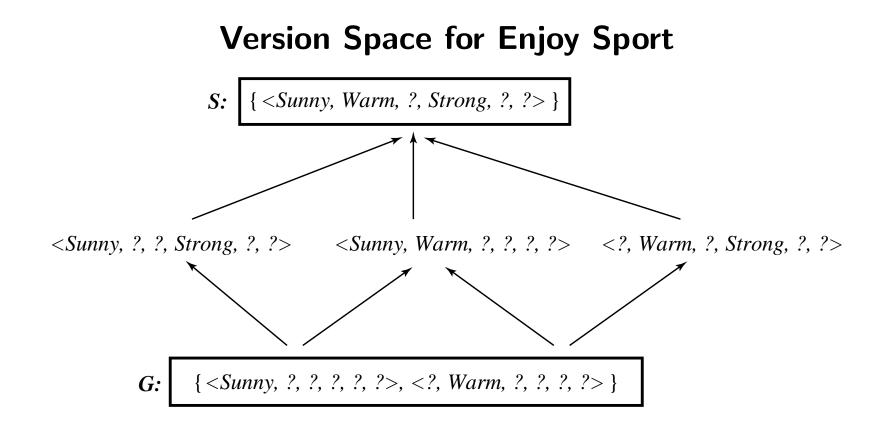
$$VS_{H,D} \equiv \{h \in H | Consistent(h, D)\}$$

#### The List-Then-Eliminate Algorithm:

- 1.  $VersionSpace \leftarrow a$  list containing every hypothesis in H
- 2. For each training example,  $\langle x, c(x) \rangle$ :

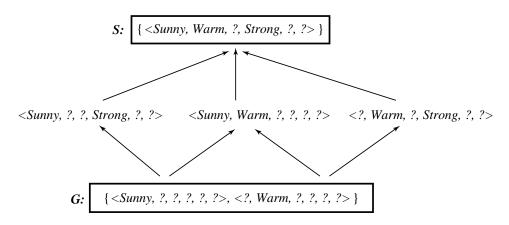
remove from VersionSpace any hypothesis h for which  $h(x) \neq c(x)$ 

3. Output the list of hypotheses in *VersionSpace* 



Sky	Temp	Humid	Wind	Water	Forecst	EnjoySpt
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Sunny	Warm	High	Strong	Cool	Change	Yes

#### **Representing Version Spaces**



- 1. The General boundary, G, of version space  $VS_{H,D}$  is the set of its maximally general members that are consistent with the given training set
- 2. The Specific boundary, S, of version space  $VS_{H,D}$  is the set of its maximally specific members that are consistent with the given training set
- 3. Every member of the version space lies between these boundaries

$$VS_{H,D} = \{h \in H | (\exists s \in S) (\exists g \in G) (g \ge h \ge s) \}$$

where  $x \ge y$  means x is more general or equal to y

# **Candidate Elimination Algorithm – Pos. Examples**

Input: training set Output:

- G = maximally general hypotheses in H
- S = maximally specific hypotheses in H

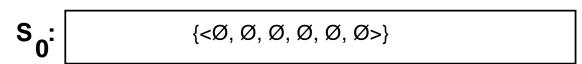
Algorithm:

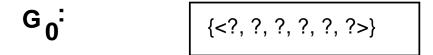
For each training example  $d,\,\mathrm{do}$ 

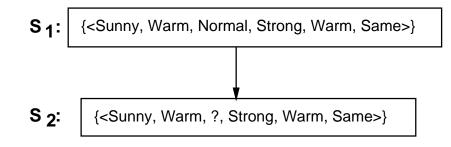
- If d is a positive example
  - Remove from  ${\cal G}$  any hypothesis inconsistent with d
  - For each hypothesis  $\boldsymbol{s}$  in  $\boldsymbol{S}$  that is not consistent with  $\boldsymbol{d}$ 
    - $\ast \ {\rm Remove} \ s \ {\rm from} \ S$
    - \* Add to S all minimal generalizations h of s such that
      (a) h is consistent with d, and
      (b) some member of G is more general than h
    - \* Remove from S any hypothesis that is more general than another hypothesis in  ${\cal S}$

## **Candidate Elimination Algorithm – Neg. Examples**

- If d is a negative example
  - Remove from  ${\cal S}$  any hypothesis inconsistent with d
  - For each hypothesis g in G that is not consistent with d \* Remove g from G
    - \* Add to G all minimal specializations h of g such that
      - (a) h is consistent with d, and
      - (b) some member of S is more specific than h
    - \* Remove from G any hypothesis that is less general than another hypothesis in G



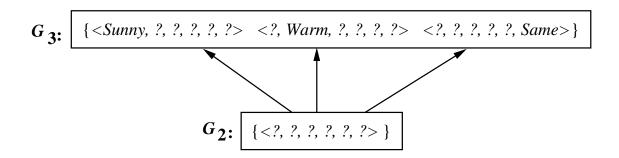




Training examples:

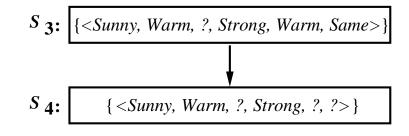
- 1. <Sunny, Warm, Normal, Strong, Warm, Same>, Enjoy-Sport?=Yes
- 2. <Sunny, Warm, High, Strong, Warm, Same>, Enjoy-Sport?=Yes

 $S_2, S_3: \{ \langle Sunny, Warm, ?, Strong, Warm, Same \rangle \}$ 



Training Example:

3. <Rainy, Cold, High, Strong, Warm, Change>, EnjoySport=No

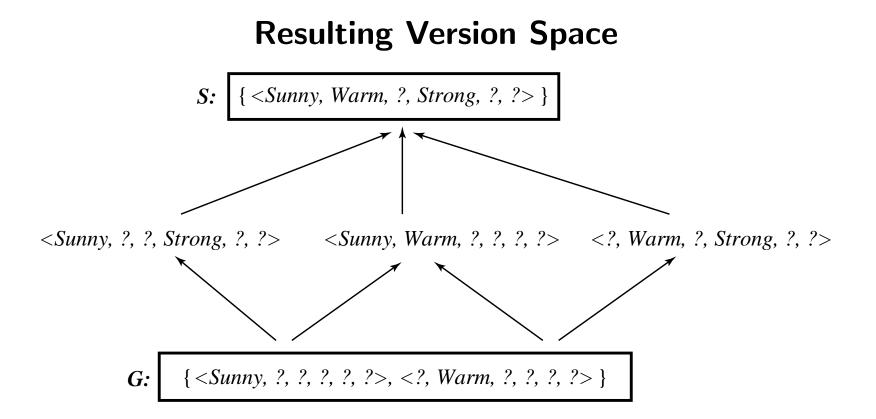


Training Example:

4.<Sunny, Warm, High, Strong, Cool, Change>, EnjoySport = Yes

### **Properties of the two Sets**

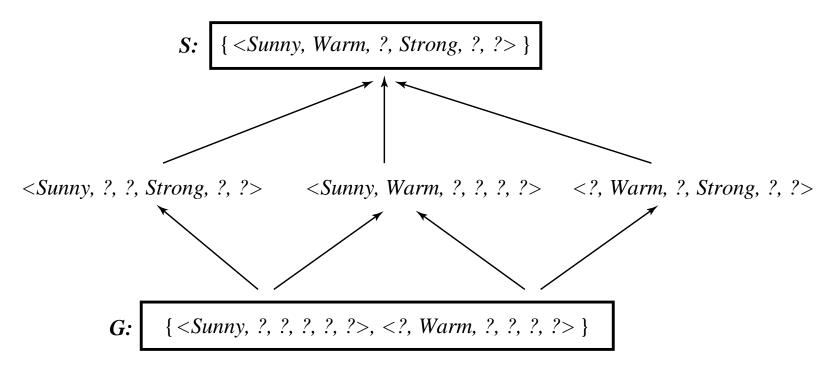
- $\bullet~S$  can be seen as the summary of the positive examples
- Any hypothesis more general than S covers all positive examples
- Other hypotheses fail to cover at least one pos. ex.
- G can be seen as the summary of the negative examples
- Any hypothesis more specific than G covers no previous negative example
- Other hypothesis cover at least one positive example



### Properties

- If there is a consistent hypothesis then the algorithm will converge to  $S=G=\{h\}$  when enough examples are provided
- False examples may cause the removal of the correct  $\boldsymbol{h}$
- $\bullet~$  If the examples are inconsistent,  $S~{\rm and}~G~{\rm become~empty}$
- $\bullet\,$  This can also happen, when the concept to be learned is not in H

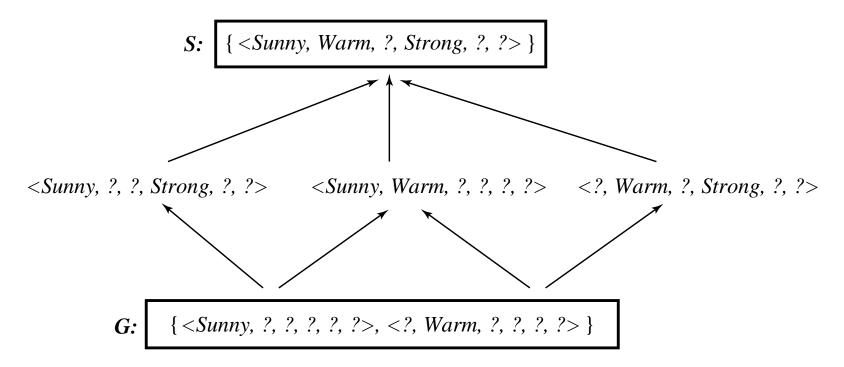
### What Next Training Example?



• If the algorithm is allowed to select the next example, which is best?

ideally, choose an instance that is classified positive by half and negative by the other half of the hypothesis in VS. In either case (positive or negative example), this will eliminate half of the hypothesis. E.g:  $\langle Sunny Warm Normal Light Warm Same \rangle$ 

#### How Should These Be Classified?



- $\langle Sunny Warm Normal Strong Cool Change \rangle$
- $\langle Rainy \ Cool \ Normal \ Light \ Warm \ Same \rangle$
- (Sunny Warm Normal Light Warm Same)

## Classification

- Classify a new example as positive or negative, if all hypotheses in the version space agree in their classification
- Otherwise:
  - Rejection or
  - Majority vote (used in the following)

## **Inductive Bias**

- What if target concept not contained in hypothesis space?
- Should we include every possible hypothesis?
- How does this influence the generalisation ability?

## Inductive Leap

- Induction vs. deduction (=theorem proving)
- Induction provides us with new knowledge!
- What Justifies this Inductive Leap?

+ ⟨Sunny Warm Normal Strong Cool Change⟩
+ ⟨Sunny Warm Normal Light Warm Same⟩

 $S: \langle Sunny Warm Normal ??? \rangle$ 

Question: Why believe we can classify the unseen

 $\langle Sunny Warm Normal Strong Warm Same \rangle$ ?

### **An UNBiased Learner**

- Idea: Choose H that expresses every teachable concept
- I.e., H corresponds to the power set of  $X \to |H| = 2^{|X|}$
- $\rightarrow$  much bigger than before, where |H| = 937
- E.g., consider H' = disjunctions, conjunctions, negations over previous H. E.g.,

 $\langle Sunny Warm Normal ??? \rangle \lor \neg \langle ???? ?Change \rangle$ 

- It holds h(x) = 1 if x satisfies the logical expression.
- What are S, G in this case? (See next page)

### The Futility of Bias-Free Learning

- $S = \{s\}$ , with s = disjunction of positive examples
- $G = \{g\}$ , with g = Negated disjunction of negative examples

 $\rightarrow$  Only training examples will be unambiguously classified ~ A learner that makes no a priori assumptions regarding the identity of the target concept has no rational basis for classifying any unseen instances.

- Inductive bias = underyling assumptions
- These assumption explain the result of learning
- The inductive bias explains the inductive leap!

## **Inductive Bias**

- Concept learning algorithm  ${\cal L}$
- Instances X, target concept c
- Training examples  $D_c = \{ \langle x, c(x) \rangle \}$
- Let  $L(x_i, D_c)$  denote the classification assigned to the instance  $x_i$  by L after training on data  $D_c$ , e.g. EnjoySport = yes

Definition The inductive bias of L is any minimal set of assertions B such that for any target concept c and corresponding training examples  $D_c$ 

$$(\forall x_i \in X) [(B \land D_c \land x_i) \vdash L(x_i, D_c)]$$

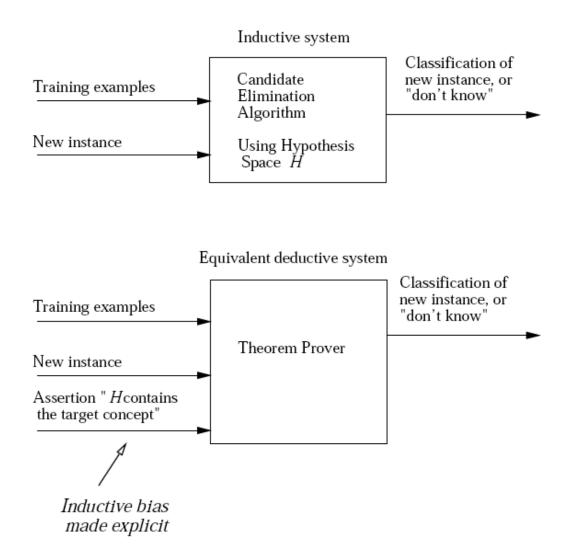
where  $A \vdash B$  means A logically entails B.

#### **Inductive Bias for Candidate Elimination**

 $(\forall x_i \in X) [(B \land D_c \land x_i) \vdash L(x_i, D_c)]$ 

- Assume a training set  $D_c$ . The algorithm computes the version space  $VS_{H,D}$ .
- $x_i$  is classified by unanimous voting.  $\rightarrow$  this way  $L(x_i, D_c)$  is computed.
- Conjecture:  $B = \{c \in H\}$  is the inductive bias ('the underlying target concept c is in H')
- From  $c \in H$  it follows that c is a member of the version space.
- $L(x_i, D_c) = k$  implies that all members of  $VS_{H,D}$ , including c, vote for class k (unanimous voting). Therefore:  $c(x_i) = k = L(x_i, D_c)$ .
- This means, that the output of the learner  $L(x_i, D_c)$  can be logically deduced from  $B \wedge D_c \wedge x_i$
- $\bullet \rightarrow$  The inductive bias of the Candidate Elimination Algorithm is: c is in H

### Inductive Systems and Equivalent Deductive Systems



## **Three Learners with Different Biases**

- Note that the inductive bias is often only implicitly encoded in the learning algorithm
- In the general case, it's much more difficult to determine the inductive bias
- Often properties of the learning algorithm have to be included, e.g. its search strategy
- What is inductive bias of
  - Rote learner: Store examples, Classify x iff it matches previously observed example.
    - No inductive bias ( $\rightarrow$  no generalisation!)
  - Candidate elimination algorithm c is in H (see above)
  - Find-S c is in H and that all instances are negative examples unless the opposite is entailed by its training data

A good generalisation capability of course depends on the appropriate choice of the inductive bias!

# **Summary Points**

- Concept learning as search through  ${\cal H}$
- General-to-specific ordering over *H*
- Version space candidate elimination algorithm
- S and G boundaries characterize learner's uncertainty
- Learner can generate useful queries
- Inductive leaps possible only if learner is biased
- Inductive learners can be modelled by equivalent deductive systems